# Statement of Changes in Beneficial Ownership of Securities

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

## 1. Name and Address of Reporting Person

<table>
<thead>
<tr>
<th>(Last)</th>
<th>(First)</th>
<th>(Middle)</th>
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O/O Global Water Resources, Inc.  
21410 N 19th Avenue #220  
Phoenix, AZ 85027

## 2. Issuer Name and Ticker or Trading Symbol

Global Water Resources, Inc. [GWRS]

## 3. Date of Earliest Transaction (Month/Day/Year)

09/30/2016

## 4. If Amendment, Date Original Filed (Month/Day/Year)

X Form filed by One Reporting Person  
Form filed by More than One Reporting Person

## 5. Relationship of Reporting Person(s) to Issuer

X Director  
__ 10% Owner  
__ Officer (give title below)  
__ Other (specify below)

## 6. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person  
Form filed by More than One Reporting Person

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### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>1. Title of Security (Instr. 3)</th>
<th>2. Transaction Date (Month/Day/Year)</th>
<th>2A. Deemed Execution Date, if any (Month/Day/Year)</th>
<th>3. Transaction Code (Instr. 8)</th>
<th>4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)</th>
<th>5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)</th>
<th>6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>7. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
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<tbody>
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</tbody>
</table>
**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
(e.g., puts, calls, warrants, options, convertible securities)

<table>
<thead>
<tr>
<th>Code</th>
<th>V</th>
<th>(A)</th>
<th>(D)</th>
<th>Date Exercisable</th>
<th>Expiration Date</th>
<th>Title</th>
<th>Amount or Number of Shares</th>
<th>Code</th>
<th>V</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td></td>
<td>141</td>
<td>(2)</td>
<td>(2)</td>
<td></td>
<td>Common Stock</td>
<td>141</td>
<td>$ 0</td>
<td>50,013</td>
</tr>
</tbody>
</table>

**Explanation of Responses:**

(1) Each deferred phantom unit ("DPU") is the economic equivalent to one share of common stock of Global Water Resources, Inc. (the "Company").

(2) The DPUs are fully vested upon grant. In addition, the DPUs are only permitted to be redeemed upon the reporting person ceasing to be a director of the Company and do not have a set expiration date.

/s/ Jeff Risenmay, attorney-in-fact  10/04/2016
**Signature of Reporting Person**

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* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.